

CONFLICT OF INTEREST CODE FOR THE  
CALIFORNIA STATE AUDITOR'S OFFICE

PROPOSED TEXT

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Adm. Code Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Adm. Code Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, constitute the Conflict of Interest Code for the California State Auditor's Office.

~~Designated employees of the California State Auditor's Office shall file statements of economic interests with the Deputy for the Division of Administration, California State Auditor's Office, who shall make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) Upon receipt of the statement for the State Auditor, the Deputy shall make and retain a copy, and forward the original to the Fair Political Practices Commission. The California State Auditor's Office will retain the statements for all other designated employees. The State Auditor must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All other individuals holding designated positions must file their statements with the **California State Auditor's Office**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.~~

AUTHORITY: Government Code sections 87300 and 87306.

REFERENCE: Government Code sections 87300-87302, 87306

APPENDIX A  
CALIFORNIA STATE AUDITOR'S OFFICE  
CONFLICT OF INTEREST CODE

**DESIGNATED EMPLOYEES**

Those officers and employees of the California State Auditor's Office classified as designated employees and their respective disclosure categories are as follows:

<u>Position</u> <sup>1</sup>	<u>Disclosure Category</u>
<b>Executive Office</b>	
California State Auditor	1
Chief Deputy State Auditor	1
<del>Chief, Investigations</del>	<del>1</del>
<del>Chief, Legal Counsel</del>	<del>1</del>
<del>Chief, Legislative Government Affairs</del>	<del>1</del>
<u>Chief, Legal Counsel</u>	<u>1</u>
Chief, Public Affairs	1
Deputy State Auditor	1
<u>Editor</u>	<u>2</u>
Program Review and Communication Specialist	1
<b>Legal Division</b>	
<u>Assistant Chief, Legal Counsel</u>	<u>1</u>
Attorney	1
<b>Audits</b>	
Principal Auditor	1
Senior Auditor Evaluator	1
<b>Information Technology Audits Systems</b>	
Auditor Specialist (Level III and above)	1
<u>Principal Auditor, Information Technology Audits</u>	<u>1</u>
<del>Manager, Information Technology Audit Systems</del>	
<b>Investigations</b>	
Fraud Investigator	1
Manager, Investigations	1
Special Investigator	1
<b>Administration</b>	
<del>Business Services Officer</del> <u>Contracts Analyst</u>	<del>2</del> <u>2</u>

---

<sup>1</sup> Includes all levels of that position, unless otherwise indicated. Retired annuitants shall be included in the list of designated employees in accordance with the duty position in which they serve and, as such, disclose accordingly.

Digital Composition Specialist	2
<u>Manager, Business Services</u>	<u>2</u>
Manager, Fiscal Services	2
Manager, Human Resources	2
<u>Manager, Organizational Development</u> <del>Manager,</del>	2
<del>Recruiting/Training</del>	
<u>Unit Manager of Business Services</u>	<u>2</u>

**Creative Solutions and Information Services**

Manager, Creative Solutions	3
Manager, Information Technology	3
<del>Systems Software Specialist II</del>	<del>3</del>
<u>Information Technology Specialist</u>	<u>3</u>

Consultants/New Positions

\*

APPENDIX B  
CALIFORNIA STATE AUDITOR'S OFFICE  
CONFLICT OF INTEREST CODE

**Disclosure Categories**

**Category 1**

Employees shall report:

All interests in real property in the State of California, as well as investments, business positions, and sources of income, including receipt of gifts, loans, and travel payments.

**Category 2**

Employees shall report:

All investments and business positions in and income (including receipt of gifts, loans and travel payments) from sources that provide services, equipment, leased space, materials, or supplies of the type utilized by the California State Auditor's Office.

**Category 3**

All employees shall report:

All investments and business positions in and income (including receipt of gifts, loans and travel payments) from sources that manufacture, distribute, supply, or install computer, telecommunication or reprographic hardware or software of the type utilized by the California State Auditor's Office, as well as entities providing computer consulting services.

**\*Disclosure from Consultants/New Positions**

Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitations:

The State Auditor or ~~his/her~~ the State Auditor's agent may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based on that description, a statement of the extent of disclosure requirements. The determination is a public record and shall be retained by the ~~Agency~~ California State Auditor's Office and provided for inspection, upon request.